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HSP- 70 Mediated Nervous System Enhancement by ETAS

Taylor Carter

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HSP- 70 Mediated Nervous System Enhancement by ETAS THESIS

Presented in Partial Fulfillment of the Requirements for the Master of Science Degree in the Graduate School Of Texas Southern University

By

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2022

Approved by

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HSP- 70 Mediated Nervous System Enhancement by ETAS

By

Taylor Carter, M.S. Texas Southern University Professor Alamelu Sundaresan

ABSTRACT

Neurodegenerative disease in the CNS is usually a product of increased oxidative stress in the brain. In this study we tested the ability of an asparagus supplement ETAS to help reduce oxidative stress in the normal brains of Balb C mice. Oxidative stress pathways (Heat shock proteins) are usually cumulative in the damage they cause when disrupted. We treated normal Balb C mice with ETAS and had control groups with no ETAS supplementation in their regular diet. We then sacrificed the mice and conducted microarray studies to compare oxidative stress pathway genes. We also characterized the effects of regular oxidative cells in a mammalian cell model treated with alcohol to understand how oxidative stress impairs the functions of a normal neuronal cell. Results from both in vivo and in vitro experiments will be described in this study.

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LIST OF ABBREVIATIONS

- ANOVA One Way Analysis of Variance
- APP -Amyloid Precursor Protein
- CNS Central Nervous System
- ERK Extracellular Signal Regulated Kinase
- ETAS Asparagus Officinalis, standardized stem extract
- GSH Glutathione
- HSP Heat Shock Protein
- JDP J Domain Proteins
- JNK C-Jun NH2 Terminal Kinase
- LGMD1 Limb Girdle Muscular Dystrophy
- MAPT Microtubule Associated Protein
- NEF's Nucleotide Exchange Families
- NFT Neurofibrillary tangles
- ROS Reactive Oxygen Species
- TTBK Tau Tubulin Kinase
- WT Wild Type

VITA

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CHAPTER 1

INTRODUCTION

Neurodegeneration is characterized as the loss of function of neurons in the brain. In neurodegenerative diseases such as Alzheimer's, expression of amyloid precursor protein and hyper phosphorylation of tau protein promotes neuronal dysfunction and cell death. According to the amyloid cascade theory, the accumulation of amyloid β-peptide (Aβ), in the brain is the primary cause of Alzheimer disease (Ricciarelli et al, 2017). Progressive neuronal loss in the brain, biochemical impairment, can also occur as reactive oxygen species, ROS, are produced via oxidative phosphorylation in the mitochondria. Under normal conditions, the harmful effects of ROS are neutralized by antioxidant systems but, when ROS production exceeds the capacity of the antioxidant response system, protein oxidation occurs causing oxidative damage, cellular degeneration, and functional decline at synapses preventing cell to cell communications (Salim et al, 2017).

Tau protein is a microtubule associated protein that functions within neurons of the central nervous system. These proteins play a role in cell signaling, synaptic plasticity and, genomic stability. In humans, TAU can undergo post-translational modifications such as hyperphosphorylation and self-aggregation transforming into insoluble filaments. Tauopathies, neurodegenerative diseases characterized by misfolding of tau protein, can be characterized by the abnormal deposition of microtubule- associated protein tau, MAPT, within neurons and glial cells (Michalicova et al, 2020). Additionally, the co-expression of tau tubulin kinases 1 and 2 (TTBK1/2) leads to increased phosphorylation of tau and ultimately neurodegeneration (Taylor et al, 2018).

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The amyloid cascade hypothesis is a proposed mechanism to describe the clinical presentation of neurodegenerative disease associated with the accumulation of Aβ plaques in the brain (Ricciarelli et al, 2017). Amyloid precursor protein is a single- pass transmembrane protein with 8 potential isoforms due to alternative splicing. Mutations at the methionine amino acid is enough to eliminate the ability of Aβ peptides to generate reactive oxidative species. In vivo studies suggest that mice that over express human APPs develop aggregations and consequently express neuronal injury including synaptic dysfunction and loss of synaptic terminals. Soluble Aβ had been shown to control the cleavage and phosphorylation of tau, ultimately both of with play a role in the formation of neurofibrillary tangles (NFT), (O'Brian et al, 2011).

The central nervous system is composed of the brain and spinal cord. Its capability to properly function is dependent upon its ability to maintain ionic, energetic and redox homeostasis. It has now been demonstrated that proteins controlling ATP generation, mitochondrial stability and the redox environment are associated with neurological disorders (Palubinsky et al, 2012). Glutathione (GSH) is arguably one of the most important cellular antioxidants within the central nervous system and is responsible for reducing ROS and maintaining the cellular redox potential defensively against oxidative stress. Internal conditions where there is excessive activation of the glutamate receptors promote a cascade of neurotoxicity via cationic influx, mitochondrial dysfunction, energetic and oxidative stress, and over production of reactive oxidative species (Armanda-Moreira et al, 2020).

Mitochondria are one of the primary contributors to glutamate neurotoxicity. As stated previously, excessive activation of glutamate receptors causes an increased concentration of

calcium ions into neurons. In order to counteract the cationic influx, ATP- dependent ion pumps are activated draining ATP stores and producing neurons with a low energetic state. Ultimately, the excessive calcium uptake can lead to depolarization of the mitochondrial membrane consequently impairing ATP production and antioxidant mitochondrial functions. As mitochondria fail calcium cannot make it to endoplasmic reticulum leading to the production of misfolded or damages proteins and kinases (Palubinsky et al, 2012).

Mammalian heat shock proteins are evolutionary conserved proteins that act as molecular chaperones for other proteins. They are instrumentals for cell to cell signaling and protein traffic. Under normal cell conditions HSP70 will functions as an ATP- dependent molecular chaperone that assists with the folding of newly synthesized proteins, polypeptide complexes, and the transport of protein across cellular membranes (Brunet et al, 2007). Within mitochondria HSP70 blocks the apoptotic pathway at the pre-mitochondrial, mitochondrial, and post-mitochondrial levels (Lanneau et al, 2007).

ETAS, Asparagus officinalis, is a standardized extract produced by Amino Up Co., LTD. It is linked to the inhibition of the apoptosis cascade by heightening the neuronal stress response system via heat shock proteins (Peng et al, 2021).

CHAPTER 2

LITERATURE REVIEW

Asparagus officinalis extract (ETAS®50) is a plant extract that has been known to increase neuroprotective effects and mitigate cognitive impairment via the enhancement of HSP70 expression. Previous studies have shown that ETAS®50 significantly increases HSP70 gene expression in the hippocampus of APP- overexpressing mice when compared to the "wild type", saline treated. Additionally, ETAS®50 significantly decreases soluble and insoluble *β*amyloid and tau protein in APP mice when compared to the saline treated group. Previous data also indicates that ETAS®50 reduces caspase-3, decreasing apoptosis at the post mitochondrial level (Peng et al, 2021).

As previously stated, proteins controlling ATP generation, mitochondrial stability and the redox environment are associated with neurological disorders (Palubinsky et al, 2012). HSP70 interacts with mitochondria at the pre-mitochondrial, mitochondrial, and post-mitochondrial levels to regulate redox and ionic homeostasis via protein activation (Lanneau et al, 2007). At the pre-mitochondrial level, HSP70 primarily affects three different cell signaling pathways to induce a neuroprotective effect. These pathways include the mitogen-activated protein kinases, MAPK, extracellular signal-regulated kinase, ERK, and c-Jun NH₂-terminal kinase, JNK and p38 MAPK. The loss in regulation of proteins in MAPK signaling pathways is associated with various neurodegenerative diseases (Eun et al, 2010) In this study we analyze MAPK proteins MAP3K6, MAP3K10, MDK and MLK1. The activation of these various MAPKS is linked to increase in protective effects of HSP70 (Hao et al, 2018; Yu et al, 2010; Miova et al,2015; Yu et al, 2015; Qi et al, 2015; Yu et al, 2013; Fan et al, 2021; Zhang et al, 2018). ERK is a type of MAPK pathway that play a role in neuronal cell death via potassium deprivation (Eun et al,

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2010). GAREM1 and KIF26A are proteins that function at the pre mitochondrial level to suppress the cell signaling pathway (Taniguchi et al,2013; Eun et al, 2010; Cheung et al, 2004; Zhou et al, 2009; Mahato et al, 2020; Xu et al, 2006). SH3RF1 is a protein associated with the JNKs cell signaling pathway that plays a role in induction of apoptosis (Xu et al, 2003; Wakatsuki et al, 2022). Lastly, ZNRF1 is activated by p38 MAPK to promote neuronal cell death and neurite degeneration (Stankiewicz et al, 2005).

 At the mitochondrial level, HSP70 inhibits Bax translocation and insertion into the outer mitochondrial membrane. This inhibition prevents mitochondrial membrane permeabilization and release of proapoptotic factors cytochrome c and AIF (Lanneau et al, 2007; Stankiewicz et al, 2005). Proteins of relevance at the mitochondrial level include NAV1, ATP10A, and ATP11A. Nav1 is a voltage gated sodium channel that can cause functional change in mitochondria in stressful physiological conditions such as a disrupted ionic balance (Wang et al,2017; Perez-Hernandez et al, 2021; Lezi et al, 2012;Sheng et al, 2012; Johri et al, 2012).

 Lastly, at the post- mitochondrial level, HSP70 binds directly to Apaf-1 preventing the recruitment of procaspase-9- to the apoptosome (Lanneau et al, 2007). TRP53BP2 encodes the gene responsible for induction of apoptosis via the 53BP2 proteins and activation of caspase -9 (Kobayashi et al, 2005).

Heat shock proteins are cellular proteins that are highly conserved in evolution. They are ATP-dependent chaperones involved in a multitude of protein folding processes. HSPs have the ability to interact with almost all proteins in their misfolded, unfolded, or aggregated states. HSPs do not interact with proteins in the adequately folded conformation. Essential to the chaperone cycle of HSP70 is the nucleotide-controlled switch between its low and high infinity states.

In the low-affinity state, ATP is bound, meaning association of peptides to the substratebinding domain and peptide disassociation from the substrate binding domain occur at a significantly high rate ultimately resulting in a low affinity for polypeptides. The high-affinity state occurs following ATP hydrolysis by the non-binding domain. In this state peptide association and dissociation rates decrease by several magnitudes resulting in an increase in affinity for substrate peptides by up to 400-fold. Essentially, ATP hydrolysis is a crucial component of the chaperone activity of HSP70.

HSPs usually do not function alone but with co-chaperones. Arguably, one of the most essential co-chaperones functions within the J-domain proteins (JDPs) and subsequently nucleotide exchange families, NEFs). These JDFs either bind to HSP70 substrates themselves or are located in a cellular location where relevant substrates frequently appear. The mechanism of JDPs can be described as a coupling transfer of polypeptides to HSP70s via stimulation of their ATPase activity (Mayer et al, 2013)

Hoshino et al completed studies in 2011 to identify the relationship between HSP70 betaamyloid and tau. They reported significant improvement in behavior and cognitive perception following a decreased burden of amyloid plaques in Alzheimer's disease mouse models expressing a high-level HSP70 compared to the control strain. Additionally, the presence of HSP70 increases the degradation of beta-amyloid peptides in microglial cells of the central nervous system. Similarly, Evans et al described how the presence of HSP70 decreases the number of oligomers via refolding of misfolded proteins. Uniformly, related studies have shown that the overexpression of HSP70 is associated with reduced tau protein gene concentration by binding to tau protein and preventing its aggregation by redirecting through ubiquitin degradation pathways (Repalli et al, 2015)

The structure of HSP70 is composed of three domains. At the N-terminal ATPase domain, the exchange of ATP drives conformational changes in the other two domains; the substrate-binding domain includes a groove that allows for polypeptide interaction and binding; the c-terminal domain, or non-binding domain, is rich in alpha-helical structures that function as a "lid" for the substrate-binding domain. In brief, the substrate-binding domain is open when ATP is bound and closed when ADP is bound.

 A protein folding machine, HSP70 binds and releases hydrophobic DNA sequences via a regulated ATP-hydrolysis-driven cycle. HSP70 is usually in the ATP-bound state with little to no ATPase activity meaning spontaneous hydrolysis will not occur when substrate interaction is not occurring. HSP70 recognizes and interacts with hydrophobic amino acid sequences within newly synthesized proteins from ribosomes. These proteins can be freely bound and released by the ATPase of ATP70. The ATPase activity of HSP70 is stimulated by the presence of a polypeptide in the peptide-binding domain, resulting in a slow rate of ATP hydrolysis As ATP is hydrolyzed ADP the binding domain of HSP70 closes trapping the polypeptide chain. The polypeptide binding to HSP70 prevents the substrate from further aggregation or misfolding rendering it nonfunctional. As the protein is synthesized a nucleotide exchange factor stimulates the release of ADP and binding of fresh ATP by opening the binding pocket. Once the protein is released, it is then free to fold correctly on its own or be transferred to another chaperone for further processing.

HSP70 is in the cytoplasm, endoplasmic reticulum, nucleus, mitochondria, and extracellular environment. In relation to neurodegeneration, it associates with APP and tau protein to mitigate the presentation of cognitive decline associated with neurodegenerative conditions. HSP70 functions to correctly fold client proteins, prevent the aggregation of unfolded or misfolded proteins and exhibit immune-modulatory effects. As a result, within pharmacological testing scientists may focus on the binding of various allosteric sites through its interaction to misfolded tau protein and general modulation of HSP70 expression levels. (Campanella et al, 2018).

HSP40 functions as a co-chaperone to HSP70 through direct substrate binding. Once HSP40 binds to the polypeptide then transferal to HSP70 occurs allowing for subsequent processing. Previous studies have shown that HSP40 specifically binds to misfolded or denatured polypeptide chains. This interaction is heavily influenced by ATPase activity within HSP70. It is theorized that J-domain- HSP70 binding functions to bring the substrate in close proximity to the HSP70 substrate binding site. Following the hydrolysis of ATP, substrate affinity increases and HSP70 can out-compete HSP40 for the substrate binding. In summary, polypeptide release from HSP70 is mediated by the exchange of ADP for ATP. (Lui et al, 2020)

The maintenance of homeostasis especially protein homeostasis is vitally important in specialized cells such as neurons. DNAJ proteins have been demonstrated to play a direct role in protecting against neurodegenerative conditions caused by misfolded or aggregated proteins. DNAJ proteins or HSP40 proteins are chaperones that regulate HSP70 activity through stimulation of ATP hydrolysis. These proteins can be divided into three classes depending on their domain composition. Class I, DNAJA, contains the standard domain structure of an N terminal J domain followed by a glycine and phenylalanine rich region, a zinc finger motif and a C terminal client binding domain. Class II, DNAJB proteins, only contain the N terminal J domain region and glycine/ phenylalanine regions. Lastly, class III, DNAJC, only has the J domain.

 DNAJB6 is a highly expressed protein that can primarily be found in the brain. The alternative spicing of DNAJB6 produces two isoforms a nuclear isoform and a cell stress responsive isoform. It has been previously demonstrated that mutations in the DNAJB6 domain led to neurodegeneration specifically, limb-girdle muscular dystrophy. LGMD1 is a neurological condition that causes progressive muscle weakness and degeneration.

DNAJB1 has been shown to enhance the neuroprotective effects of HSP70 in vitro by reducing alpha-beta aggregation by targeting smaller oligomers. Additionally, DNAJA1 has been shown to regulate mutant tau fate in the presence of HSP70 by stabilizing and preventing degradation (Zarouchlioti et al, 2018)

The STRING database is a database of functional associations between proteins using the fact that functionally associated proteins are generally encoded by genes that share similar selection pressures. As a result, those genes are maintained and regulated together in a way that the encoded can interact at the same place and time in the cell. The STRING database is a computerized resource that is used to explore the nature of these associations. The STRING database is composed of a unique scoring framework founded on various benchmarks of different pre-determined associations against the reference set. These associations are integrated into a single confidence score per prediction. A representation of the network of the proposed protein interactions creates a view of the predicted functional linkage. The STRING database predicts functional interactions at an expected level of accuracy of 80% or higher for most genes (Von Mering et al, 2003).

The foundation of the STRING analysis is based on functional associations. Functional associations are inks between two proteins that contribute to a shared biological function. In order for proteins to be considered to share a function, they do not need to interact physically. If the two proteins have functional overlap within the same cell then the overlap should fall under a functional pathway in order to be considered relevant. It is important to note that under these conditions, even proteins with a negative functional relationship will be identified using the STRING analysis. (Szklarczky et al, 2019)

CHAPTER 3

MATERIALS AND METHODS

3.1 ETAS®50. The manufacturing process of ETAS®50 starts with the asparagus plant Natural active components are extracted using the hot water extraction method. The water is then filtered through a filtration system and concentrated. The concentrate is then spray dried into powder (Amino up, 2021).

3.2 Gene Array Analysis. RNA Extraction and Purification: Gene Expression Quantification Using the Afermetrics Gene Array. RNA extraction and purification was completed by Dr.Sundaresan and Dr.Mann using the following general methodology. Mice were divided into groups of 10 to form 5 experimental groups. Total RNA was extracted from the hippocampus using RNeasy Microarray mini tissue kits. The RNA was then purified so that integrity could be assessed. RNA integrity values below 6 were not used in the acquired data. Next, total RNA was converted into cDNA and amplified using one color labeling kits from Agilent. Finally, the arrays were assesed using BRB Array tools. That data was then normalized and corrected using univariate analysis (Peng et al, 2021).

3.3 String Analysis. Data from the gene array provided by Dr. Sundaresan was input into the STRING database to find predicted functional partners within cell signaling pathways at various cellular levels. Starting with HSP70 as the protein of interest. I used the produced string analysis to filter 309 additional genes identified from the provided gene array. In the produced string analysis-colored nodes represented specific proteins produced by a single protein coding locus. Edges represented protein to protein interactions between functional proteins.

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CHAPTER 4

RESULTS

Figure I String Analysis of HSP70. Colored nodes recognize specific proteins produced by a single protein coding locus. Edges represent meaningful protein to protein interactions.

String Analysis: The string analysis of heat shock protein 70 was evaluated using the STRING database. This model is used to predict functional partners between interacting proteins that contribute to a shared function. Figure 1 identifies the predicted functional partners for HSP70. Within the first shell of interactions, DNAJB6, is located. The produced models indicate that HSP70 and DNAJB6, HSP40, interact closely in that they contribute too many shared functions. The above model also highlights other interacting gene families such as BAG and SCNA.

Figure II String Analysis DNAJB6, HSP40. Colored nodes recognize specific proteins produced by a single protein coding locus. Edges represent meaningful protein to protein interactions.

String Analysis: The string analysis of DNAJB6 was evaluated using the STRING database. The predicted model demonstrates a closely interwoven relationship between the gene products of the HSP gene family. This is expected as HSP70 and HSP40 interact to form a complex that exhibits anti-neurodegenerative properties.

Figure III: Mechanism of chaperone-assisted protein folding by Hsp70-DnaJ/Hsp40 complex.

In the proposed model, DNAJB6, HSP40, interacts with the unfolded protein for delivery to HSP70. HSP40 and HSP70 form a complex that triggers activation of ATPase activity. Activation of the ATPase activity leads to the hydrolysis of ATP to ADP and the release of HSP40 leaving the HSP70/ ADP/ protein complex. Nucleotide exchange occurs allowing for the correctly folded protein to be released and phosphorylation of ADP to ATP. [47].

Relevant Genes at the Pre- Mitochondrial Level

Graph 1: Quantification of pre-mitochondrial gene products in wild type and wild type following exposure to ETAS

In order to measure the effects of ETAS on neuronal cells, mice were divided into the following groups: wild type and WT with ETAS and, treated with the asparagus supplement, ETAS. Figure one indicates the quantitative change measured in genes known to associate with HSP70 at the pre-mitochondrial level. In the above graph the x-axis corresponds to the gene names and y-axis to geometric mean of intensity/the recorded gene product concentration. The light and dark colored bars represent the wild type and wild type after exposure to etas. The results suggest that the presence of ETAS increases the concentration of gene products that function in a neuroprotective manner.

Table 1: Relevant Genes at the Pre- Mitochondrial Level

Quantification of gene products were measured in the wild type pre-and post-exposure to ETAS. Those gene products were then analyzed to calculate the fold change of the wild type under the influence of the asparagus supplement. The formula used to calculate fold change was Wild type w etas wild type . The fold change is indicative of the quantitative change between the original and subsequent measurement. The results show that in the presence of ETAS, the fold change of gene products that behave in a neuroprotective manner increase significantly with gene products

doubling and tripling in the wild type w/ etas compared to the wild type.

Graph 2: Quantification of mitochondrial level gene products in wild type and wild type following exposure to ETAS

As previously described, the gene products were measured in the wild type before and after exposure to ETAS. Graph two indicates the genes that interact with HSP70 at the mitochondrial level. Following exposure to ETAS, the following mitochondrial gene products increased in concentration: NAV1, ATP10A, and ATP11A. As shown below in table two, the fold change increases by a magnitude of four in NAV1, a protein that function apart of the voltage gated sodium channel and doubles ATPase activity.

Table 2: Relevant Genes at the Mitochondrial Level

Relevant Genes at the Post- Mitochondrial Level

Graph 3: Quantification of post-mitochondrial level gene products in wild type and wild type following exposure to ETAS

Graph 3 summarizes the quantitative change in concentration of gene products that associate with HSP70 at the post mitochondrial level. Using the provided gene Assay I identified a single gene. TRP53BP2 is shown to increase in concentration in the wild type with ETAS compared to the wild type control. As shown in Table 3 the fold change increased at a proportion of 2.46.

Table 3: Relevant Genes at the Post- Mitochondrial Level

Genes that play a general role in neurodegeneration

Graph 4: Quantification of gene products in wild type and wild type following exposure to ETAS

Graph 4 identifies genes from the provided gene array that play a role in the clinical manifestations of neurodegeneration at a general level including heat shock protein 12, heat shock protein 40, and the tau kinase TTBK1. Following exposure to ETAS, the fold change in the concentration heat shock proteins 12 and 40 doubled by 2.48 and 2.91 respectively as stated in table 4. The fold change of the tau kinase was also shown to double at a rate of 2.84.

Table 4: Genes that play a General role in Neurodegeneration

CHAPTER 5

DISCUSSION

As described previously, RNA was extracted from wild type mice to quantify the change in gene products following exposure to the asparagus supplement ETAS. Gene expression was quantified using the Agilent Gene Array. The measured gene products were then analyzed in the STRING database using HSP70 as the primary gene of interest to find predicted functional partners and subsequently calculate the fold change of relevant gene products after exposure to ETAS. It has been previously established that ETAS exposure leads to an increase in expression of heat school proteins and decrease in B-amyloid and tau protein in APP over expressed mice [10]. Results at the pre-mitochondrial level support previous studies indicating HSP70 plays a neuroprotective role in the clinical manifestation of neurodegeneration.

Mitochondria have also been established to play a major role in the regulation of neurodegeneration via maintenance of ionic balance within cells. Results from the mitochondrial level presented an increase in ATP activity and active ion pumps. This finding suggests that the presence ETAS acts as a stimulator within neuronal cells. Similarly, the presence of ETAS triggered the activation of TRP53BP2, a caspase 9 activator. The observed changes in gene products at the mitochondrial level and post mitochondrial level indicate some adverse effects neurologically that could potentially be regulated using proper dosage and other clinical mechanisms.

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CHAPTER 6

CONCLUSION

In conclusion, in the presence of asparagus supplement, ETAS, an increase in WT mice genes that prevent neurodegeneration and instigate neurodegeneration was observed in genes functioning at the pre-mitochondrial level. Consequently, an increase in gene products that encourage neurodegeneration at the mitochondrial and post mitochondrial level were found to increase as well. Ultimately further investigation will be required to determine if the benefits outweigh the risks in the prevention of neurodegeneration using asparagus supplement, ETAS.

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